

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. DC5171 PCT 1	
In Re Application Of: CHENG et. al					
Application No. 10/568515	Filing Date	Examiner	Customer No. 00137	Group Art Unit	Confirmation No.
Title: URETHANE COMPOSITIONS CONTAINING CARBINOL-FUNCTIONAL SILICONE RESINS					
<p style="text-align: center;">Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p style="text-align: center;">37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-left: 100px;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

15 FEB 2006

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<p style="text-align: center;">Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 04-1520 as described below.</p> <p style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ \$ <input type="checkbox"/> Credit any overpayment. <input type="checkbox"/> Charge any additional fee required. </p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 45%;"> <p style="text-align: center;">Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a) <u>2-15-06</u>] </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> Kelly Smith Signature of Person Mailing Correspondence </div> <div style="border: 1px solid black; padding: 5px;"> Kelly Smith Typed or Printed Name of Person Mailing Certificate </div> </div> </div>					
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="font-size: 1.2em; margin-bottom: 5px;"><i>Patricia M Scaduto</i></p> <p style="text-align: center; font-size: 0.8em;">Signature</p> </div> <div style="width: 45%;"> <p>Dated: Feb 14, 2006</p> </div> </div> <div style="margin-top: 10px;"> <p>Patricia M. Scaduto, Reg. No. 39,827 Phone: 989-496-6925 Mail Number C01232 2200 W. Salzburg Road P.O. Box 994 Midland, MI 48686-0994 UNITED STATES OF AMERICA</p> </div> <div style="margin-top: 20px;"> <p>CustomerNumber 00137</p> </div>					
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STATEMENT BY APPLICANT**

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Sheet 1

of 2

Complete if Known

Application Number

10/568515

Filing Date

First Named Inventor

CHENG

Art Unit

Examiner Name

Attorney Docket Number

DC5171 PCT1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,362,821	11-8-1994	Decker et al.	
		US- 5,378,532	01-03-1995	Decker et al.	
		US- 5,405,688	04-11-1995	Decker et al.	
		US- 4,202,807	05-13-1980	Moretto et al.	
		US- 5,431,765	07-11-1995	Decker et al.	
		US- 5,013,577	05-07-1991	Wright et al.	
		US- 4,157,357	06-05-1979	Mine et al.	
		US- 4,125,510	11-14-1978	Antonen	
		US- 5,952,439	09-14-1999	Morita et al.	
		US- 5,516,858	05-14-1996	Morita et al.	
		US- 5,290,882	03-01-1994	Shiobara et al.	
		US- 4,877,822	10-31-1989	Itoh et al.	
		US- 4,722,951	02-02-1988	Yoshioka et al.	
		US- 5,283,279	02-01-1994	Hara et al.	
		US- 4,657,986	04-14-1987	Isayama et al.	
		US- 4,795,680	01-03-1989	Rich et al.	
		US- 5,840,806	11-24-1998	Komazaki et al.	
		US- 4,234,697	11-18-1980	Homan et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Sheet 2

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		US- 5,262,507	11-16-1993	Decker et al.	
		US- 5,126,126	06-30-1992	Varaprath et al.	
		US- 5,290,901	03-01-1994	Burns et al.	
		US- 5,814,679	09-29-1998	Eckberg et al.	
		US- 6,297,331	10-02-2001	Langenhagen et al.	
		US- 5,939,491	08-17-1999	Wilt et al.	
		US- 6,071,990	06-06-2000	Yip et al.	
		US- 5,152,984	10-06-1992	Varaprath et al.	
		US- 6,610,777	08-26-2003	Anderson et al.	
		US- 5,102,960	04-07-1992	Imai et al.	
		US- 5,135,993	08-04-1992	Decker et al.	
		US- 5,891,969	04-06-1999	Mine et al.	
		US- 4,684,534	08-04-1987	Valentine	
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